

MEMO ENDORSED

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
NEW YORK REGIONAL OFFICE
BROOKFIELD PLACE
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NEW YORK, NY 10281-1022

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VIA ECF AND EMAIL

The Honorable Katherine Polk Failla
United States District Court
Southern District of New York
40 Foley Square, Room 2103
New York, NY 10007

Re: SEC v. Stefan Lumiere, 16 Civ. 4513 (KPF)

Dear Judge Failla:

The parties respectfully request an adjournment of the upcoming date in this action.

The staff of the Securities and Exchange Commission ("Commission") and Mr. Lumiere have reached an agreement in principle to resolve all of the Commission's claims in this action. However, the agreement is subject to approval by the Commission. To allow the staff to obtain Commission approval and, once approved, submit the proposed judgment to the Court for consideration, the parties respectfully request the Court adjourn this matter for approximately sixty days.

Very truly yours,

A handwritten signature in blue ink that reads "Philip Moustakis".

Philip Moustakis

cc: Jeffrey Einhorn, Counsel to Stefan Lumiere (via email)

Application GRANTED. The conference previously scheduled in this case for January 11, 2018, is hereby ADJOURNED to March 12, 2018, at 3:30 p.m.

Dated: January 8, 2018
New York, New York

SO ORDERED.

A handwritten signature in blue ink that reads "Katherine Polk Failla".

HON. KATHERINE POLK FAILLA
UNITED STATES DISTRICT JUDGE